

Grimshaw, Rick [MSB-PVTC]

From: Martin, Nydia [MSB-PVTC] on behalf of PCG Exception Req
Sent: Thursday, March 25, 2010 12:21 PM
To: Grimshaw, Rick [MSB-PVTC]
Cc: PCG Exception Req
Subject: FW: <2527>FW: PCG Exception Approval for 2010 PMI Forum

Please see **Debra Curtis-Pettis'** exception approval below. Please scan / fax this e-mail and your receipts with the web T&E/P2P barcode upfront when submitting your reimbursement request through your normal management approval channel for processing via Web T&E/P2P.

From: Curtis-Petties, Debra R [MSB-PVTC]
Sent: Thursday, March 25, 2010 1:38 PM
To: PCG Exception Req
Subject: RE: <2527>FW: PCG Exception Approval for 2010 PMI Forum

approved

-----Original Message-----

From: Martin, Nydia [MSB-PVTC] **On Behalf Of** PCG Exception Req
Sent: Thursday, March 25, 2010 9:44 AM
To: Curtis-Petties, Debra R [MSB-PVTC]
Cc: PCG Exception Req
Subject: FW: <2527>FW: PCG Exception Approval for 2010 PMI Forum

Please pre-approve FA's travel expenses for the 2010 PMI Forum Atlanta, GA--April 21-23
Approx cost for travel expenses per FA \$1,700 and will be charged to the individuals BDA
140+ FA's have registered for this event

Paul Hatch has approved

-----Original Message-----

From: Paul.M.Hatch@morganstanleysmithbarney.com
[mailto:Paul.M.Hatch@morganstanleysmithbarney.com]
Sent: Wednesday, March 24, 2010 2:58 PM
To: Craig.D.Pfeiffer@morganstanleysmithbarney.com; Rick.Grimshaw@morganstanleysmithbarney.com
Cc: Huneke, Benjamin [MSSB]
Subject: RE: PCG Exception Approval for 2010 PMI Forum

Rick,

There is no problem with this. FA's will still have to go through the formal claim process, but they will be fine.

From: Pfeiffer, Craig (MATCE ADMIN)
Sent: Tuesday, March 23, 2010 5:41 PM
To: Grimshaw, Rick K (PORTLAND, OR 1 (SB))
Cc: Hatch, Paul

Subject: Re: PCG Exception Approval for 2010 PMI Forum

Rick, I have forwarded to Paul Hatch for final approval, thanks and good luck with the conference!

From: Grimshaw, Rick K (PORTLAND, OR 1 (SB))
To: PCG Exception Req <PCGExceptionReq@imcnam.ssmb.com>
Cc: Elhance, Manisha (BUSINESS RISK-SB); Pfeiffer, Craig (MATCE ADMIN); Swanson, Carl (CG CUSTOM PORTFOLIO-MS)
Sent: Tue Mar 23 17:00:17 2010
Subject: PCG Exception Approval for 2010 PMI Forum

Good afternoon. On behalf of the 140+ Financial Advisors who have pre-registered [so far] for the 2010 Forum of the Portfolio Management Institute [PMI], I am asking for Exception Pre-Approval for our upcoming national meeting in Atlanta, GA--April 21-23.

PMI is a completely member-funded organization of practicing discretionary portfolio managers within MSSB. Each conference attendee will pay their own way for the meeting. A preliminary meeting agenda is here:

<http://www.pminstitute.org/displaycommon.cfm?an=1&subarticlenbr=47&convnbr=7275>

Average expenses per attendee are estimated below:

Conference Fee, paid in advance to PMI - includes meals, gratuity and general conference expenses: \$695 pp;
Hotel at the InterContinental Buckhead, up to three nights due to travel: \$189/night plus tax, per the contract PMI negotiated with the conference hotel, estimated total for three nights: \$600 pp [this is above the Atlanta room cap]

Airfare or travel from attendee's home city [this is a national meeting]: \$400 pp.

Grand total per person, estimated **\$1700**.

A vast majority of attendees will submit expenses against their BDA accounts for 2010.

Manisha is copied as she handled the 2009 PMI Forum request. Craig was involved in last year's request as well. Carl is copied as the business head of Portfolio Management Group, as all attendees are associated with PMG.

Finally, the attachment is the complete approval string from 2009 for reference.

I am the 2010 President of Portfolio Management Institute.

Thanks to all.

<<2009 PMI Forum Room Rate Exception.doc>>

Rick Grimshaw, CIMA(R)

Morgan Stanley Smith Barney

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Senior Portfolio Manager
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<http://fa.smithbarney.com/grimshaw>
See our portfolio commentaries at
<http://fa.smithbarney.com/grimshaw/viewpoint.htm>

Did you know that you can find me through Google and go directly to my website?
Just go to www.Google.com ; then search for me: Rick Grimshaw.
Then click on my sponsored link on the top of the page.

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